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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/837,701	04/17/2001	Xiaodong Li	005158.P007X	9152

7590 01/25/2005  
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EXAMINER

CONTEE, JOY KIMBERLY

ART UNIT	PAPER NUMBER
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2686

DATE MAILED: 01/25/2005

19

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

09/837,701

Applicant(s)

LI ET AL.

Examiner

Joy K Contee

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 22 July 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-26 and 29-47 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26, 29-47 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☒ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date 16.
- ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments filed July 22, 2004 have been fully considered but they are not persuasive. In light of Applicant's amendment to independent claim 26, which emphasizes a base station including a variation detector, Examiner points to Baum et al. (U.S. Patent No. 5,867,478), col. 23, lines 42-48, which teaches that the SC-OFDM receiver unit could be in the base unit or subscriber unit.

### ***Claim Rejections - 35 USC § 102***

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

3. Claims 26 and 29-33 are rejected under 35 U.S.C. 102(e) as being anticipated by Baum et al. (Baum), U.S. Patent No. 5,867,478.

Regarding claim 26, Baum discloses an apparatus comprising: a subscriber (col. 5, lines 63-66); a base station including a subcarrier allocator (i.e., inherent to SC-OFDM) and a variation detector (reads on SF-OFDM receiver unit in base unit), the base station being communicatively coupled to the subscriber (col. 5, lines 37-61 and

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col. 7, lines 40-43 and col. 23, lines 42-47); a variation detector to detect channel variation, wherein the subcarrier allocator allocates either one or more diversity clusters of subcarriers (col. 17, lines 8-22) or one or more coherence clusters of subcarriers to the subscriber based on results of channel variation detection by the variation detector (col. 3, lines 15-26 and col. 5, lines 37-61).

Regarding claim 29, Baum discloses the apparatus defined in claim 26 wherein the variation detector measures channel variation periodically (i.e., monitors symbols over a period of time) for each cluster (col. 14, lines 24-40).

Regarding claim 30, Baum discloses the apparatus defined in claim 26 wherein the variation detector measures SINR values periodically for each cluster (col. 14, lines 24-40).

Regarding claim 31, Baum discloses the apparatus defined in claim 26 wherein the variation detector measures a power difference (i.e., reads on delay) between pilot symbols for each cluster and average the difference (i.e., reads on phase difference due to symbol timing phase) over a window of time slots (col. 19, lines 3-26).

Regarding claim 32, Baum discloses the apparatus defined in claim 31 wherein the window of time slots comprises a moving window of time slots (col. 19, lines 3-26).

Regarding claim 33, Baum discloses the apparatus defined in claim 32 wherein the window of time slots comprises four time slots (col. 14, lines 13-18).

### ***Double Patenting***

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the

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unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claims 1, 11 and 34 (thus the dependents 2-10, 12-25 and 35-47, respectively) are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1, 4, 5, 11, 12, 18-20, 24, 25 and 29 of copending Application No. 09/837,337 (Patent Application Pub. No. 2003/0169681).

Independent claim 1 of the instant application claims allocating at least one diversity cluster of subcarriers to a first subscriber; and allocation at least one coherence cluster to a second subscriber. Independent claims 11 and 34 of the instant application claim determining whether a subscriber is mobile or fixed; allocating at least one diversity cluster of subcarriers to the subscriber if the subscriber is mobile and allocating at least one coherence cluster if the subscriber is fixed. Although the conflicting claims are not identical, they are not patentably distinct from each other because the limitations of claims 1, 2 and 5 of the instant application encompass the scope of claims 1, 4, 5, 11, 12, 18-20, 24, 25 and 29 of copending Application No. 09/837,337.

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In comparison, claims 1,4,5,11,12,18-20,24,25 and 29 of Application No. 09/837,337 disclose the allocating at least one cluster in one or more groups of clusters selected by a subcarrier for use with a subscriber (see independent claim 1). Claims 4 and 5, of 09/837,337 claim wherein the clusters in each of the plurality of groups are spaced apart over bandwidth (i.e., reads on coherency) and spaced apart farther than coherent bandwidth (i.e. reads on diversity) of each channel between a base station and the subscriber. Claims 18-20, claim wherein the candidate clusters desired for use are a set of all possible clusters with SINRs relatively higher than the other clusters (i.e., inherent to diversity cluster that is spaced apart). Further, Application No. 09/738,086 claims wherein the subscriber has a fixed association with the at least one group of clusters (i.e., analogous to wherein the second subscriber comprises a fixed subscriber, claim 2 of instant application) (see claim 11 of 09/837,337). The primary difference between the two sets of claims is that 09/837,337 does not specifically state allocating at least one diversity cluster and at least one coherence cluster, for respective subscriber one and two. However, 09/837,337 suggests in the claims both diversity and coherent clusters (see claims 4,5 and 18-20, as shown above).

A person of ordinary skill in the art would conclude that the invention defined in the claims 1-25, and 34-47 in issue are an obvious variation of the invention defined in a claims 1,4,5,11,12,18-20,24,25 and 29 in copending Application No. 09/738,086.

This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

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***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joy K Contee whose telephone number is 703-308-0149. The examiner can normally be reached on M (alternating), T & Th, 5:30 a.m. to 2:00 p.m.

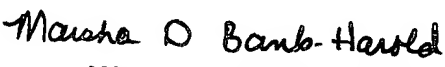
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marsha Banks-Harold can be reached on 703-305-4379. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

  
Joy Contee

1/22/05

  
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